

USSN: 10/572,886

Atty. Dkt. No.: 8325-0038 Client Dkt. No.: S38-US1

PATENT

CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

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1430 on August 1, 2007.

Date '

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Examiner:

MOORE et al. Group Art Unit: 1653

Serial No.: 10/572,886 / Confirmation No.: 3343

Filing Date: October 3, 2006 Customer No.: 20855

Title: ENGINEERED ZINC FINGER PROTEINS

FOR REGULATION OF GENE

EXPRESSION

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

[X]	In accordance the IDS is req		no additional fee for submission of
[]	In accordance	with 37 C.F.R. §1.97(c),	also enclosed is:
	[]	the fee of \$180.00 as set	forth in 37 C.F.R. §1.17(p); or
	[]	a statement as specified i	n 37 C.F.R. §1.97(e).
[]		(e) and the fee of \$180.00	a statement as specified in 37 as set forth in 37 C.F.R. §1.17(p)
П	Check No.	in the amount of	for the total fee is attached.

USSN: 10/572,886 Atty. Dkt. No.: 8325-0038 Client Dkt. No.: S38-US1

[X] A return receipt postcard is also enclosed.

[] Please charge \$____ to Deposit Account No. 18-1648 for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: August 1, 2007

By: Dahna S. Pasternak

Registration No. 41,411

ROBINS & PASTERNAK LLP 1731 Embarcadero Road, Suite 230 Palo Alto, CA 94303

Telephone: 650-493-3400 Facsimile: 650-493-3440

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Examiner:

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

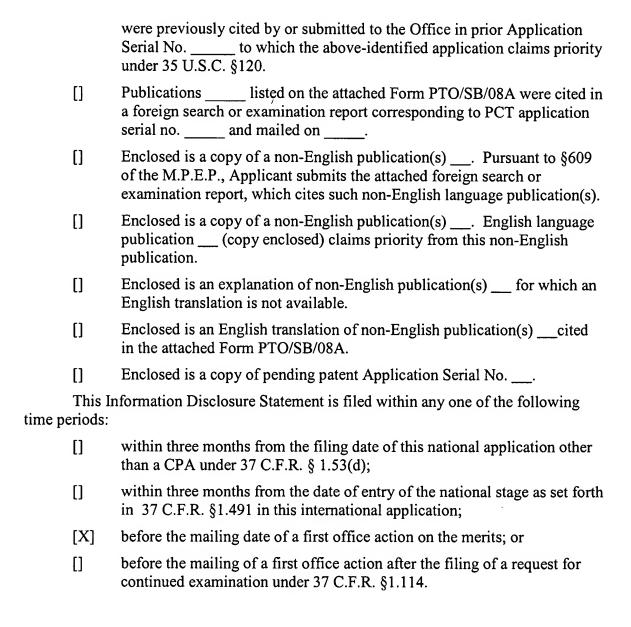
Dear Sirs:

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, copies of publications B1-B31 and C1-C265, [X]cited in the attached Form PTO/SB/08 are enclosed.
- [X]No copy of the U.S. publications A1-A40, listed on the attached Form PTO/SB/08A are being provided because the Office waives the requirement under 37 C.F.R. 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003
- No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications

USSN: 10/572,886

Atty. Dkt. No.: 8325-0038 Client Dkt. No.: S38-US1



This Information Disclosure Statement under 37 CFR § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

USSN: 10/572,886 Atty. Dkt. No.: 8325-0038

Client Dkt. No.: S38-US1

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

By:

Respectfully submitted,

Date: August 1, 2007

Dahna S. Pasternak Registration No. 41,411

ROBINS & PASTERNAK LLP 1731 Embarcadero Road, Suite 230

Palo Alto, CA 94303 Tel.: (650) 493-3400 Fax: (650) 493-3440 Please spea plu Sign (+) inside this box →

Substitute for form 349A/PTO

ATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 25

Complete if Known						
Application Number	10/572,886					
Filing Date	October 3, 2006					
First Named Inventor	MOORE et al.					
Group Art Unit	1653					
Examiner Name						
Attorney Docket Number	8325-0038 (S38-US1)					

			0.3. F	ATENT DOCUMENTS	
Examiner Initials*	Cite No.1	U.S. Patent	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number.
 See attached Kinds of U.S. Patent Documents.

Sub	stitute for form 14	49A/PT(0	Complete if Known		
				Application Number	10/572,886	
INFORMATION DISCLOSURE			SCLOSURE	Filing Date	October 3, 2006	
ST	STATEMENT BY APPLICANT			First Named Inventor	MOORE et al.	
	,			Group Art Unit	1653	
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Sheet	2	of	25	Attorney Docket Number	8325-0038 (S38-US1)	

					PATENT DOCUMENTS		
Examiner	Cite		Foreign Patent Document		Name of Patentee or Applicant of Cited	Date of Publication	T ⁵
Initials*	No.1	Office	Number ⁴	Kind Code	Document	of Cited Document MM-DD-YYYY	I
-	B1	wo	91/16024	A1	Vical Inc.	10-31-1991	
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Signature	Considered	

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 ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
 ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.
 ⁵ Applicant is to place a check mark here if English language Translation is attached.

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Sub	stitute for form 14	49A/PT	0		Complete if Known		
				Application Number	10/572,886		
IN	FORMATIO	ON DI	SCLOSURE	Filing Date	October 3, 2006		
S	CATEMENT	BYA	PPLICANT	First Named Inventor	MOORE et al.		
				Group Art Unit	1653		
	(use as many s	heets a	s necessary)	Examiner Name			
Sheet	3	of	25	Attorney Docket Number	8325-0038 (S38-US1)		

Examiner		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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Examiner	Date	
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Sheet	4	of	25	Attorney Docket Number	8325-0038 (S38-US1)	

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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Examiner Signature	Date Considered	
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IN	FORMATIC	ON DI	SCLOSURE	Filing Date	October 3, 2006
ST	STATEMENT BY APPLICANT			First Named Inventor	MOORE et al.
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(use as many sheets as necessary)			necessary)	Examiner Name	
Sheet	5	of	25	Attorney Docket Number	8325-0038 (S38-US1)

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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Examiner	Date	
Signature	Considered	

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	Application Number	10/572,886		
INFORMATION DISCLOSURE	Filing Date	October 3, 2006		
STATEMENT BY APPLICANT	First Named Inventor	MOORE et al.		
	Group Art Unit	1653		
(use as many sheets as necessary)	Examiner Name			

Attorney Docket Number

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STATEMENT BY APPLICANT	First Named Inventor	MOORE et al.	
	Group Art Unit	1653	
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Sheet	9	of	25	Attorney Docket Number	8325-0038 (S38-US1)		

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STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	MOORE et al.	
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Sheet	14	of	25	Attorney Docket Number	8325-0038 (S38-US1)	

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
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				Application Number	10/572,886
IN	FORMATIC	N DI	SCLOSURE	Filing Date	October 3, 2006
ST	STATEMENT BY APPLICANT			First Named Inventor	MOORE et al.
	,			Group Art Unit	1653
(use as many sheets as necessary)			necessary)	Examiner Name	
Sheet	22	of	25	Attorney Docket Number	8325-0038 (S38-US1)

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,	T ¹
Initials*	No.1	magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	1
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